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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b)) | ATTY. DOCKET NO. 17638 SERIAL NO. APPLICANTS Brian Brozell and Robert Perry FILING DATE Herewith GROUP |
|---|--|

10000 U.S. PTO
 09/982249

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|---------------|------------|-----------|-------|----------|-------------------------------|
| | 3 7 3 9 9 3 3 | 06/1973 | Degaetano | | | |
| | 3 8 8 0 3 1 3 | 04/1975 | Akers | | | |
| | 3 9 0 7 1 4 5 | 09/1975 | Horvath | | | |
| | 3 9 1 7 0 9 6 | 11/1975 | Hedgewick | | | |
| | 4 0 9 1 9 4 8 | 05/1978 | Northup | | | |
| | 4 1 3 9 1 1 2 | 02/1979 | Cooke | | | |
| | 4 3 2 0 8 4 4 | 03/1982 | Cooper | | | |
| | 4 3 5 3 4 7 5 | 10/1982 | Kachur | | | |
| | 4 3 7 5 8 5 8 | 03/1983 | Shah | | | |
| | 4 4 5 6 1 3 6 | 06/1984 | Palsson | | | |
| | 4 5 6 7 9 9 2 | 02/1986 | Davis | | | |
| | 5 1 3 5 1 2 4 | 08/1992 | Wobser | | | |
| | 5 4 4 9 0 7 8 | 09/1995 | Akers | | | |
| | 5 8 1 9 9 6 7 | 10/1998 | Lo | | | |
| ✓ | 5 9 3 8 0 5 5 | 08/1999 | Philips | | | |

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION YES NO |
|-----------------|------------------|--------------------------|-------|----------|-----------------------|
| | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

| | |
|--|--|
| | "SP-400 Finish for Plastic Bottles," The Plastic Bottle Institute, Drawing SP-400 (1976) |
| | "Continuous Thread Plastic Closures for Glass and Plastic 400 Finishes," Closure Manufacturing Association, Drawing PC-4002 (1995) |

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.

5/13/03

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCK
17638

SERIAL NO.
09/982,249

APPLICANT
Brian J. Brozell and Robert A Perry

FILING DATE
10/16/01

GROUP
3727

U.S. DEPT. OF COMMERCE
PATENT & TRADEMARK OFFICE
APR 28 2003

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|---------------|------------|---------------|-------|----------|-------------------------------|
| <i>[Signature]</i> | 4 0 3 2 0 2 8 | 6/1977 | Reiss et al. | | | |
| | 4 0 8 4 7 1 7 | 4/1978 | King | | | |
| | 4 0 9 0 6 2 9 | 5/1978 | Hedgewick | | | |
| | 4 3 8 7 8 1 7 | 6/1983 | Wiles et al. | | | |
| | 4 5 2 2 3 0 7 | 6/1985 | Steiner | | | |
| | 5 4 6 2 1 8 6 | 10/1995 | Ladina et al. | | | |
| <i>[Signature]</i> | 6 0 1 5 0 5 4 | 1/2000 | King et al. | | | |

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION | |
|--------------------|------------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| <i>[Signature]</i> | 2 6 2 5 8 7 5 A1 | 12/1977 | Germany | | | | X |
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication***)**

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